

FACTS SHEET

FLORIDA STATE UNIVERSITY

(Updated: 06/13/2017)

| FSU ORGANIZATIONAL CODES AND NUMBERS | | | | | | |
|---|-------------------------|--|--|--|----------------|---------------|
| DUNS #: 790877419 | CAGE Code: 3S772 | NAICS Codes: 611310, 541330 (North American Industry Classification System) | | | | |
| FEIN / FEID: 59-1961248 Federal Employer Identification Number and Federal Tax Identification Number | | | | Congressional District: 2 Use FL-002 in Grants.gov | | |
| DHHS Animal Welfare Assurance #: D16-00491 (A3854-01) | | | | NSF Institution Code: 0014894000 | | |
| Human Subjects HHS Multiple Project Assurance #: M-1339 | | | | NIH Institution Profile #: 513804 | | |
| HHS Federal Wide Assurance (FWA) # for Human Subjects: 00000168 for Grants.Gov | | | | FICE Code: 001489 (Federal Interagency Committee on Education) | | |
| HHS Colleges and Universities F&A Rate Agreement is dated: 05/03/17 | | | | FBI Originating Agency Identification (ORI) #: FL037600 | | |
| SAM (System for Award Management) – FSU Sponsored Research Administration maintains an active SAM registration under DUNS # 790877419. The current expiration date is 05/10/2018. | | | | MyFloridaMarketPlace (formerly SPURS) Vendor ID #: F591961248-101 | | |
| | | | | USDA-NIFA Automated Standard Application for Payments (ASAP) Number 2U3OP . | | |
| COGNIZANT AUDIT OFFICE | | | COGNIZANT CONTRACT ADMINISTRATION OFFICE | | | |
| DHHS Director of Cost Allocation | | | Office of Naval Research Atlanta | | | |
| Cohen Building Room 1067 | | | 100 Alabama Street Suite 4R15 | | | |
| 330 Independence Avenue SW | | | Atlanta Georgia 30303-3104 | | | |
| Washington DC 20201 202-401-2808 | | | 404-562-1600 | | | |
| SPONSOR SALARY LIMITATIONS (updated 06/08/17) | | | | | | |
| NIH, OJP, NIFA: The following salary limitations apply for Federal FY17. An individual's salary charged to projects cannot exceed these annual amounts (exclusive of fringe and F&A): HHS NIH: \$187,000 (efft:01/08/17 thru 09/30/17); DOJ OJP: \$205,700 as of Jan. 2017; USDA NIFA: \$161,900 as of Jan. 2017. | | | | | | |
| NIH Graduate Student: The maximum amount awarded by the NIH for the support of a graduate student on a research grant or a cooperative agreement is tied to the 'zero level' National Research Service Award (NRSA) stipend in effect at the time the grant award is issued. The amount provided for compensation includes salary or wages, fringe benefits, and tuition remission. The schedule for NRSA stipends can be found at http://grants.nih.gov/training/nrsa.htm (see <i>Kirschstein-NRSA Stipend Levels</i> near the bottom of the NRSA web page). | | | | | | |
| NSF Senior Personnel: As a general policy, NSF limits salary compensation for <u>senior project personnel</u> to no more than two months of their regular salary in any one year (Summer through Spring semesters). This limit includes salary compensation received from <u>all</u> NSF-funded grants. | | | | | | |
| NASA: In certain research announcements, NASA may limit the amount of direct salary for an investigator on a grant to Executive Level II of the Federal Executive Pay scale. The Executive Level II salary is \$187,000 as of January 2017. | | | | | | |
| ESCALATION FACTORS FOR PROPOSAL BUDGETS (added 09/04/15) | | | | | | |
| Sponsored Research Administration encourages investigators to include a reasonable increase in <i>all</i> budget categories to account for inflation in subsequent budget years. Typical increases for salaries and fringe benefits are 1-3% per year. The section on Graduate Tuition Waivers (bottom of Page 2) suggests a 1% escalation factor. Escalation rates may be limited by the sponsor. Consult the sponsor's guidelines or ask the appropriate SRA administrator what rate is generally accepted by a particular sponsor. | | | | | | |
| FRINGE BENEFITS - Effective July 1, 2017 (updated 06/06/17) | | | | | | |
| The following information regarding Fringe Benefits Rates is for proposal budget development purposes: Fringe benefits and insurance rates should be clearly stated in every proposal's budget explanation (a.k.a. narrative, justification), and the accuracy of those fringe benefit and insurance figures is the responsibility of the PI. The PI should include sufficient funds in the proposed budget to ensure that fringe benefits associated with sponsor-paid salaries are sufficient. The following rates should be used in calculating fringe benefits on proposals. See insurance rates in the next section for additional costs. Rates obtained from https://www.rol.frs.state.fl.us/forms/2016-17_contributions.pdf . | | | | | | |
| <ul style="list-style-type: none"> • The cost of health and/or life insurance should be added to the fringe benefit cost, if applicable to the employee. • OPS appointments: If the person being appointed to an OPS position also has a regular job at FSU, fringe and health must be budgeted at the same rate as the regular job. • Terminal Leave applies only to leave-earning employees. | | | | | | |
| 2017-2018 Fringe Benefit Rates | | | | | | |
| Applicable to: | Retirement | Social Security | Medicare | Workers Comp | Terminal Leave | TOTAL |
| Faculty/A&P/USPS FRS | 7.52% | 6.20% | 1.45% | 1.1% | 2.1% | 18.37% |
| Faculty/A&P ORP | 7.98% | 6.20% | 1.45% | 1.1% | 2.1% | 18.83% |
| Faculty/A&P/USPS DROP | 12.99% | 6.20% | 1.45% | 1.1% | 2.1% | 23.84% |
| OPS Students | -- | -- | -- | 1.1% | -- | 1.1% |
| OPS Non-Students/Postdocs | -- | -- | 1.45% | 1.1% | -- | 2.55% |

HEALTH INSURANCE RATES (updated 03/24/16)

Effective 01/01/17

| Coverage | Code | Annual \$ | Biweekly* \$ |
|----------------------------|---|-----------|--------------|
| Individual | 01 – Employee Only | 7,714 | 322 |
| Spouse (insured) | 22 – Spouse Program (Primary) | 9,178 | 383 |
| Spouse (dependent) | 89 – Spouse Program (Secondary) | 9,178 | 383 |
| Family | 02 – Employee + Dependents | 16,555 | 690 |
| TBA (to be assigned/hired) | Use Family coverage in proposal budgets when regular employee is unknown. | | |

* The biweekly amount is for 24 pay periods regardless of months appointed and has been rounded to nearest whole dollar. Rates shown are for Regular Class only; confirmed by FSU HR.

Effective 01/01/14

OPS
 FSU offers health insurance coverage to OPS employees working 30 hours or more per week across all appointments, in accordance with state law. SRA recommends including such costs in proposal budgets, basing the cost on the *Individual* or *Family* rate for regular employees.

HEALTH INSURANCE SUBSIDY FOR GRADUATE ASSISTANTS (updated 09/04/15)

A subsidy towards the purchase of the university-sponsored health insurance plan will be provided to qualifying graduate assistants in accordance with the Policy published at <http://www.gradschool.fsu.edu/Funding-Awards/Subsidy-Benefit>. Additional guidance for the supplement is published at <http://gradschool.fsu.edu/Funding-Awards/Health-Insurance>.

| Qualifying Graduate Student Appointment FTE | Annual Salary Supplement |
|---|--------------------------|
| .50 (20+ hrs/wk) both fall & spring semesters | \$1,662 |
| .25 - .49 (10-19 hrs/wk) both fall & spring semesters | \$1,012 |
| .50 for one semester and .25 - .49 for one semester | \$1,212 |

GRADUATE TUITION WAIVERS (updated 06/12/17)

Out-years' rates beginning with 2018-2019 are calculated with a 1% estimated increase. Rates do not include Fees. The waiver rates shown below are for Main Campus and Panama City Campus. For Law or Medicine, consult [Student Financial Services](#).

| IN-STATE Waivers | 2017-2018 | 2018-2019 | 2019-2020 | 2020-2021 | 2021-2022 |
|---|-----------------|-----------------|-----------------|-----------------|-----------------|
| Waiver Rates Per Hour | \$407.55 | \$411.63 | \$415.75 | \$419.91 | \$424.11 |
| Waiver Amounts (In-State rate x credit hours; results rounded to nearest whole dollar) | | | | | |
| 9 hrs. In-State | 3,668 | 3,705 | 3,742 | 3,779 | 3,817 |
| 18 hrs. In-State | 7,336 | 7,409 | 7,484 | 7,558 | 7,634 |
| 27 hrs. In-State | 11,004 | 11,114 | 11,225 | 11,338 | 11,451 |
| OUT-OF-STATE Waivers | 2017-2018 | 2018-2019 | 2019-2020 | 2020-2021 | 2021-2022 |
| Waiver Rates Per Hour | \$607.35 | \$613.42 | \$619.55 | \$625.75 | \$632.01 |
| Waiver Amounts (Out-of-State rate x credit hours; results rounded to nearest whole dollar) | | | | | |
| 9 hrs. Out-of-State | 5,466 | 5,521 | 5,576 | 5,632 | 5,688 |
| 18 hrs. Out-of-State | 10,932 | 11,042 | 11,152 | 11,264 | 11,377 |
| 27 hrs. Out-of-State | 16,398 | 16,562 | 16,728 | 16,895 | 17,064 |

INDIRECT COST RATES (read across and down) (updated 06/06/17)

| Effective Period | Activity | All sponsors excluding State of Florida | State of Florida Agencies, Local Florida Governments, Florida Water Management Districts | Applicable to: |
|--|---|---|--|---|
| On-Campus, Non-NHMFL Facilities | | | | |
| 07/01/17 – 06/30/19 | Research | 53.0% MTDC | See "All Facilities" below | Work conducted on campus except for NHMFL facilities. |
| 07/01/19 – 06/30/21 | Research | 54.0% MTDC | See "All Facilities" below | Work conducted on campus except for NHMFL facilities. |
| 07/01/17 – 06/30/21 | Instruction | 50.0% MTDC | See "All Facilities" below | Work conducted on campus except for NHMFL facilities. |
| 07/01/17 – 06/30/21 | Other Sponsored Activity | 45.0% MTDC | See "All Facilities" below | Work conducted on campus except for NHMFL facilities. |
| On-Campus, NHMFL Facilities | | | | |
| 07/01/17 – 06/30/21 | Research, Instruction, Other Sponsored Activity | 58.5% MTDC | See "All Facilities" below | Work conducted at NHMFL facilities |
| Off-Campus (Work conducted at Non-FSU owned or maintained facilities) | | | | |
| 07/01/17 – 06/30/21 | Research, Instruction, Other Sponsored Activity | 26% MTDC | See "All Facilities" below | Work conducted off campus |
| All Facilities On- or Off-Campus | | | | |
| Effective immediately | Research, Instruction, Other Sponsored Activity | Up to 15% TDC* | State of Florida Agencies | |
| Effective immediately | Research, Instruction, Other Sponsored Activity | 26% TDC* | Local Florida Governments | |
| Effective immediately | Research, Instruction, Other Sponsored Activity | 25% TDC* | Florida Water Management Districts | |

*Cannot exceed negotiated rate if the agreement is funded with federal flow-through funds.

Definitions:

BASE: MTDC (Modified Total Direct Costs) consists of all direct salaries and wages, applicable fringe benefits, materials, supplies, services, travel and up to the first \$25,000 of each subgrant or subcontract (regardless of the period of performance covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment costing \$5,000 or more, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, fellowships and participant support costs as well as the portion of each subgrant and subcontract in excess of \$25,000.

TDC (Total Direct Costs) excludes student tuition remission only. If an agreement is funded with federal flow through funds, the total F&A recovered cannot exceed what would have been recovered had the University's negotiated rate been applied.

Off-Campus Rate: For all activities performed in facilities not owned by FSU and to which rent is directly allocated to the project(s), the off-campus rate will apply. If more than 50% of a project is performed off campus, the off-campus rate will apply to the entire project.

Clarification on application of F&A on Stipends: Stipends paid to FSU students should be excluded from F&A as they are considered scholarship/fellowship costs. Stipends paid to non-FSU students are subject to F&A. A stipend is not considered salary.

FSU's **POLICY FOR INDIRECT COST RECOVERY** may be viewed at <http://www.research.fsu.edu/contractsgrants/recoverycost.html>.

PROPOSAL TRANSMITTAL FORM

A Proposal Transmittal Form should be used to obtain approval signatures and to assist in orderly routing of proposals, if the proposal will not be approved via OMNI Proposal Approval Workflow. This form is an internal document and is not submitted to the sponsor. The Proposal Transmittal Form and Instructions are available from the Sponsored Research Administration web site at <http://www.research.fsu.edu/research-offices/sra/forms/>.

Contacts for compliance and facility use are shown below. The PI is encouraged to contact these offices for guidance in proposal preparation if the proposed project will include these types of activities. Compliance and facility use approvals are no longer required prior to submission of the proposal. However, this does not relieve the PI from the requirement to obtain approvals prior to any award resulting from the proposal. Some sponsors may require submission of documentation of institutional review and approval prior to an award.

| Activity | Contact Person | Phone | Email address |
|--|-------------------|----------|--|
| Recombinant DNA, Hazardous Chemicals, Select Agents, Radioactive Materials, & Nanomaterials | Richard Le | 644-5374 | rle@admin.fsu.edu |
| Human Subjects | Julie Haltiwanger | 644-7900 | jth5898@fsu.edu |
| Animal Use | Kathleen Harper | 644-0623 | kharper@mail.fsu.edu |
| FSU Marine/Academic Diving Facilities | Felicia Coleman | 697-4111 | fcoleman@fsu.edu |
| Workshops/Conferences | Susan Rudasill | 644-1571 | srudasill@cpd.fsu.edu |
| Faculty Overload/Dual Comp | Abigail Lejeune | 644-6470 | alejeune@fsu.edu |

ORGANIZATIONAL DEMOGRAPHICS

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|---|---|
| <ul style="list-style-type: none"> • Applicant Name or Organization: Florida State University • Applicant Address and Telephone: Sponsored Research Administration 874 Traditions Way, Third Floor Florida State University Tallahassee, FL 32306-4166 Telephone: (850) 644-5260 FAX: (850) 644-1464 • Official Authorized to Sign for FSU: Dr. Gary K. Ostrander Vice President for Research Florida State University SRA-Pre@fsu.edu • Business Official or Financial Officer: Pam Ray, Director Sponsored Research Administration • Grants.gov Point of Contact: Please use the SRA Grants Officer assigned to your department: Pre-Award Staff Assignment Sheet | <ul style="list-style-type: none"> • Applicant Name or Organization: Florida State University Research Foundation, Inc. • Applicant Address and Telephone: 2000 Levy Ave., Suite 351 PO Box 3062744 Tallahassee, FL 32310 Telephone: (850) 644-8650 FAX: (850) 644-3658 • Official Authorized to Sign for FSU Research Foundation Dr. Gary K. Ostrander, President Florida State University Research Foundation, Inc. • Business Official or Financial Officer: Heather Cave, Director Florida State University Research Foundation, Inc. • FSURF Organizational Codes DUNS Number: 55-6861834 FEID Number: 59-3211153 Florida Sales Tax Exemption |
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SPONSORED RESEARCH KEY WEBSITES

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| <ul style="list-style-type: none"> • Policies and Procedures: http://www.research.fsu.edu/contractsgrants/policypro.html • Training: http://www.research.fsu.edu/research-offices/sra/training/ • Staff Assignment Information: https://www.research.fsu.edu/media/4207/20170515-sra-pre-award-staff-assignment-sheet.pdf • Forms: http://www.research.fsu.edu/research-offices/sra/forms/ |
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