

# Significant Financial Interest Disclosure Form

Faculty/Staff Name \_\_\_\_\_

Department/Unit \_\_\_\_\_

Proposal Submitted to \_\_\_\_\_

I am disclosing the following significant financial interests (check one) and attaching supporting documentation that identifies the business enterprise or entity involved and the nature and amount of the interest:

("Significant financial interests" are defined as interests valued at greater than \$10,000 or an equity or ownership interest of more than five percent held by an Investigator and the Investigator's spouse or dependent children. See page 1 for exempt interests.)

Salary or other payment for services (e.g. consulting fees or honoraria).

Equity interests (e.g., stocks, stock options, or other ownership interests).

Intellectual property rights (e.g., patents, copyrights, and royalties from such rights).

Other significant financial interest of the Investigator that could possibly affect or be perceived to affect the results of the NSF/PHS funded research or educational activities.

Further I agree:

To update this disclosure during the period of the award, either on an annual basis, or as new reportable significant financial interests are obtained.

To cooperate in the development of a Memorandum of Understanding (MOU) that constitutes a conflict of interest "resolution plan".

To comply with any conditions or restriction imposed by the University to manage, reduce, or eliminate conflicts of interest or forfeit the award.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

I have reviewed the significant financial interest disclosure and believe that it will be possible to develop and execute, prior to the expenditure of any funds under the award, a MOU to manage, reduce or eliminate any conflict of interest; and, therefore, I recommend that the proposal be submitted to the agency at this time.

Dean/Vice President: \_\_\_\_\_ Date: \_\_\_\_\_

NOTE: A copy of this form, as finally executed, is forwarded by the Dean/Vice President to the Office of the Dean of Faculties for the permanent file. In addition, the activity reported here must be reported on a Florida State University Outside Activity Statement Form (FSU Form FOA 802) under the procedures of Section 4 of Faculty Handbook 2008.